



Lay Advisors and Navigators Across the Continuum of Cancer Care

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A Comprehensive Cancer
Center Designated by the
National Cancer Institute

Overview

- 1) Personal journey
- 2) Cancer disparities – cervical cancer as the prototype
- 3) UAB experience in reducing/eliminating disparities
- 4) The future of cancer care delivery



should be added to this list where a substantial number of women are employed. We think that this is a step in the right direction. For example, very few plants require routine interval check-ups, and yet here is an unparalleled opportunity to reduce the mortality rate of carcinoma. Industrial physicians are doing an excellent work in protecting the health and welfare of the nation's large number of industrial employees. They need the experienced and trained specialist to control and study the problems of women workers, that adequate rules and regulations can be drawn up for their protection and well-being.

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REFERENCES

1. Kronenberg, Milton H.: *Industrial Medicine*, 7:569, 1938.
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4. Burnell, Max R.: *Industrial Medicine*, 11:282, 1942.
5. Burnell, Max R.: *Industrial Medicine*, 11:521, 1942.
6. Kronenberg, Milton H.: *Industrial Medicine*, 11:589, 1942.
7. Editorial—*Fortune Magazine*, 27:99, 1943.

CANCER OF THE UTERUS: THE VAGINAL SMEAR IN ITS DIAGNOSIS*

HERBERT F. TRAUT, M. D.
San Francisco

AND

GEORGE N. PAPANICOLAOU, M. D.
New York

A NEW method for detecting the presence of uterine cancer is based upon the well-established vaginal smear technique. Much use has been made of vaginal smears in the study of the reproductive cycle in laboratory animals, as well as in women. Cells from the various epithelial surfaces of the uterine canal, the cervix and the vagina undergo changes in morphology and staining properties which are sufficiently characteristic to enable one to evaluate much of the normal or abnormal hormonal physiology responsible for the variable cell patterns. It is only necessary to collect the exfoliated cells from the posterior vaginal fornix; spread them upon a clean glass slide, fix them in an alcohol and ether solution, stain them, and they are ready for study under the microscope.²

In the course of routine studies of human vaginal smears, Papanicolaou discovered that not only were the normal cells shed and hence demonstrable in the vaginal smears, but also many pathological cells could be found, among them those of cancer.

AUTHORS' STUDIES

To determine the relationship of cancer cells in the vaginal smear to the incidence of malignant disease in the uterus, as demonstrable by clinical methods and the biopsy technique, Papanicolaou

and Traut have collaborated in a study covering three years at the Cornell Medical College. Vaginal smears, many thousands, were made and studied, with the result that, in their hands, the method has been demonstrated to have a decided advantage in that it enabled them to detect cancer without even a minor surgical procedure. The preparation of vaginal smears is easy, may be quickly carried out, and can be repeated at frequent intervals whenever desirable.

It is particularly valuable in the diagnosis of very early carcinoma of the cervix and fundus—even before such lesions can be demonstrated by the biopsy method—with the single exception of adenoma malignum.

METHOD USED

The method, therefore, will be described in some detail in the hope that others may become interested in learning how the malignant cells can be recognized. An adequate description cannot be attempted, however, for lack of space. The interested reader is, therefore, referred to a more complete work which is to be available shortly.³

The malignant epithelial cells exfoliate from the surface of neoplastic growths, much as do normal cells. They then float downward into the vaginal fornix, where they accumulate and become mixed with normal cells of epithelial and blood origin, as well as with mucus, bacteria, parasites and cellular debris. The rate of exfoliation of the malignant cells seems to be dependent upon the rate of growth of the neoplasm and its size. Young, small, and slow-growing lesions, therefore, usually shed only few cells, whereas a large and rapidly growing lesion will ordinarily contribute relatively rich showers of characteristic cellular elements.

Meticulous scrutiny of the stained smear preparations is an important essential as well, as that such searching may be done by a person trained in the details of this type of cellular diagnosis. An atlas³ with colored illustrations has been prepared and will shortly be available to aid those interested in learning the method. The details of the staining technique will also be given in all the details necessary to duplicate the color reactions as shown.

DIFFERENTIATION

In brief, the differentiation of the malignant cell from those of benign origins is based upon changes in the size, shape, staining reactions, and the characteristics of the chromatin elements in the nucleus, the nucleoli, and the cytoplasm. Variations in size, with lobulated, crenated, or elongated nuclei are most suggestive. If, in addition, the chromatin shows fragmentation, granulation, or displacement to one or other pole of the nucleus with one or more nucleoli, the probabilities of malignancy are great. If, in addition, one sees numbers of such cells in close proximity to one another so that the above criteria can be established by accurate comparison, a presumptive diagnosis of malignancy can be made. The word "presumptive" is used advisedly, as we do not feel one should ever use this method as the basis for an absolute diagnosis. Each of the

* From the Department of Obstetrics and Gynecology, University of California Medical School, and the Department of Anatomy, Cornell Medical College.

Read before the Section on Obstetrics and Gynecology at the seventy-second annual session of the California Medical Association, Los Angeles, May 2-3, 1943.



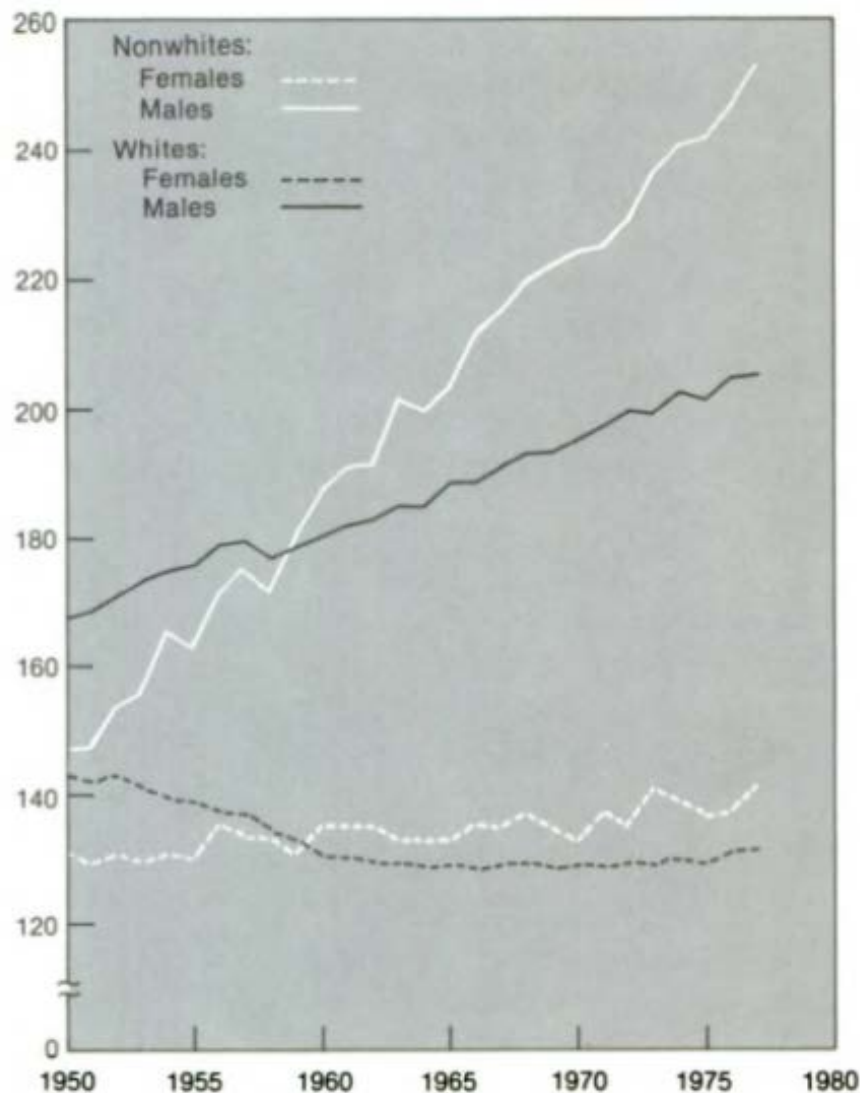






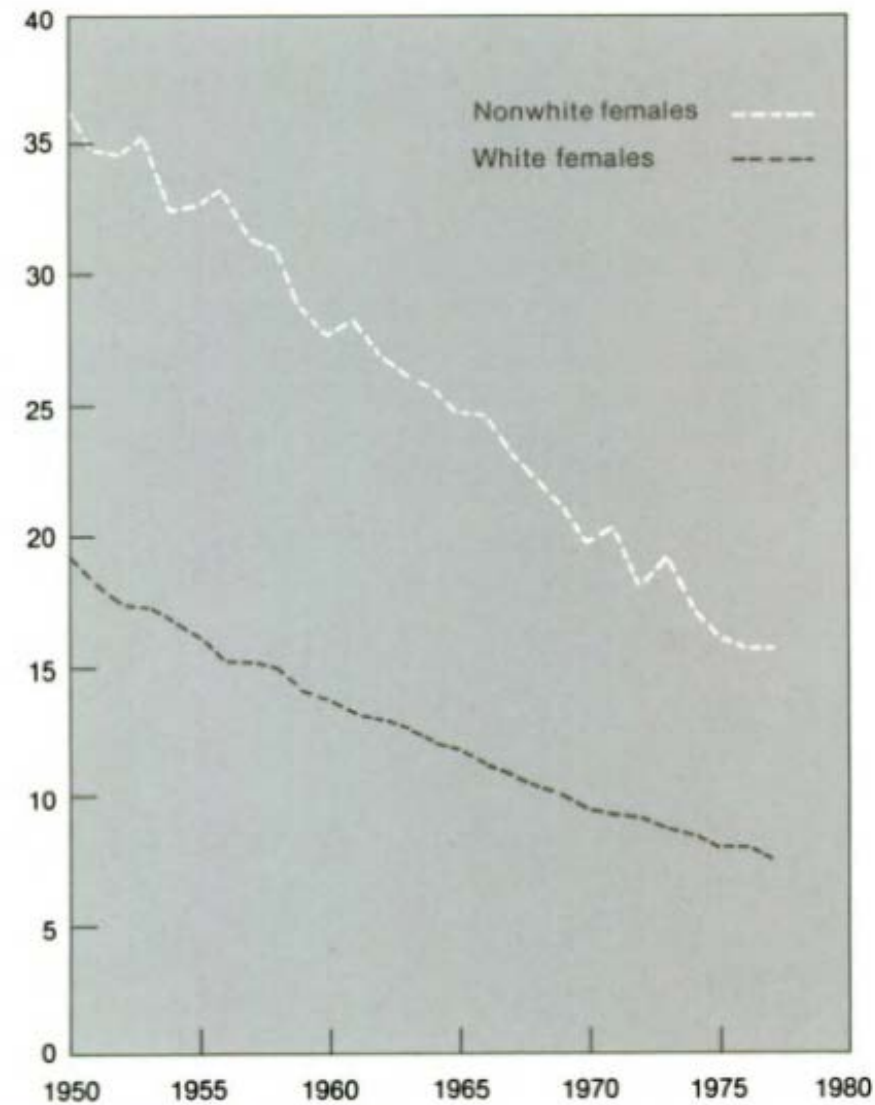


Mortality Rates for All Malignancies (ICD 8: 140-209) per 100,000, Age-Standardized to U.S. 1970 Population: 1950-77



SOURCE: Office of Technology Assessment.

Uterus Cancer (ICD 8:180-182) Mortality Rates per 100,000, Age-Standardized to U.S. 1970 Population: 1950-77



SOURCE: Office of Technology Assessment.

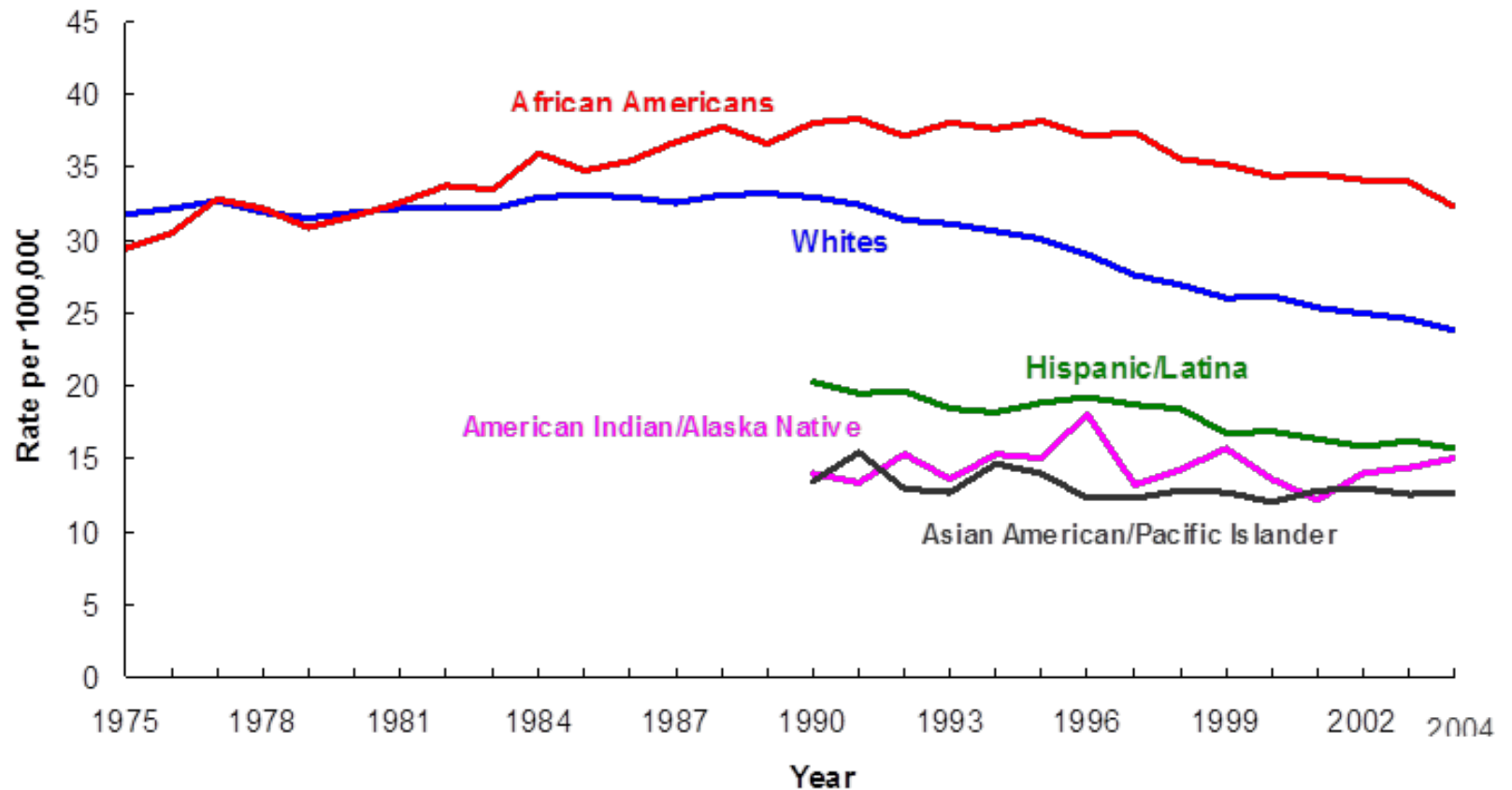


The Transition Years



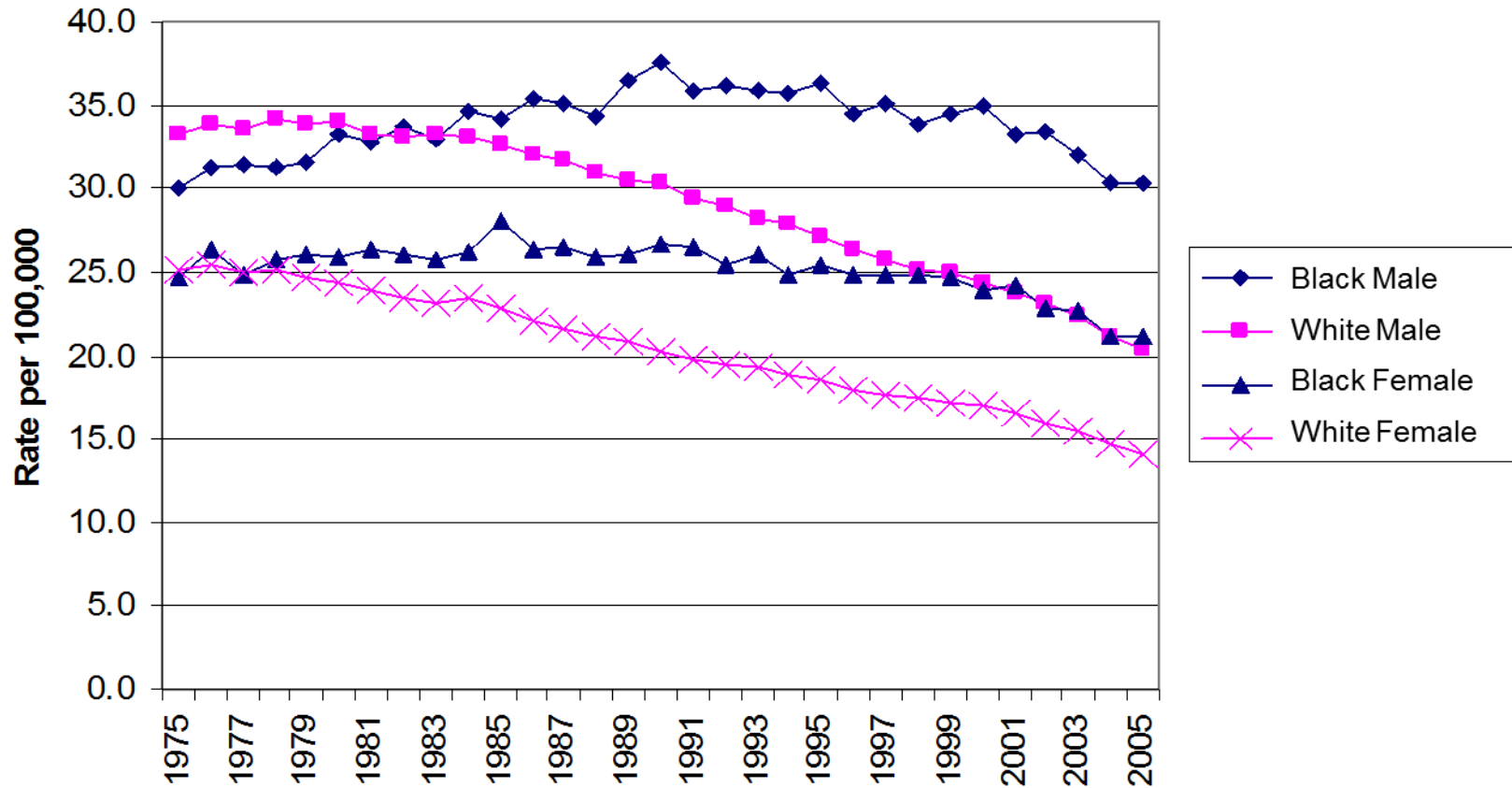
- University of Alabama 1965-1969
- UA School of Medicine 1969-1973
- OB/GYN Resident 1973-1977
- GYN Oncology Fellow 1977-1979
- Southern GYN Oncology 1979-1990
- Alabama Chapter, American College of Surgeons
- Alabama & Mid-South Division, American Cancer Society
- Director, Division of GYN Oncology 1990-2003

Female Breast Cancer Death Rates by Race and Ethnicity, US, 1975-2004

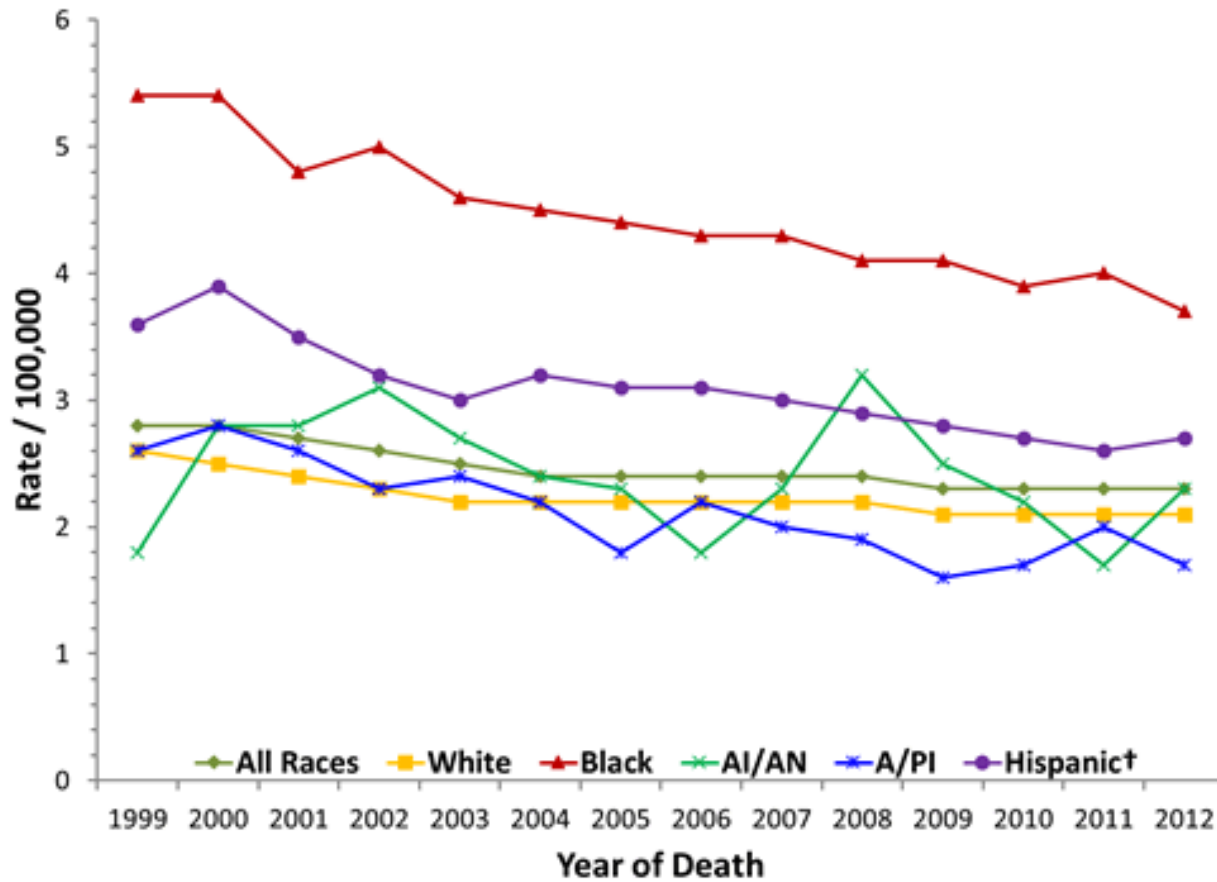


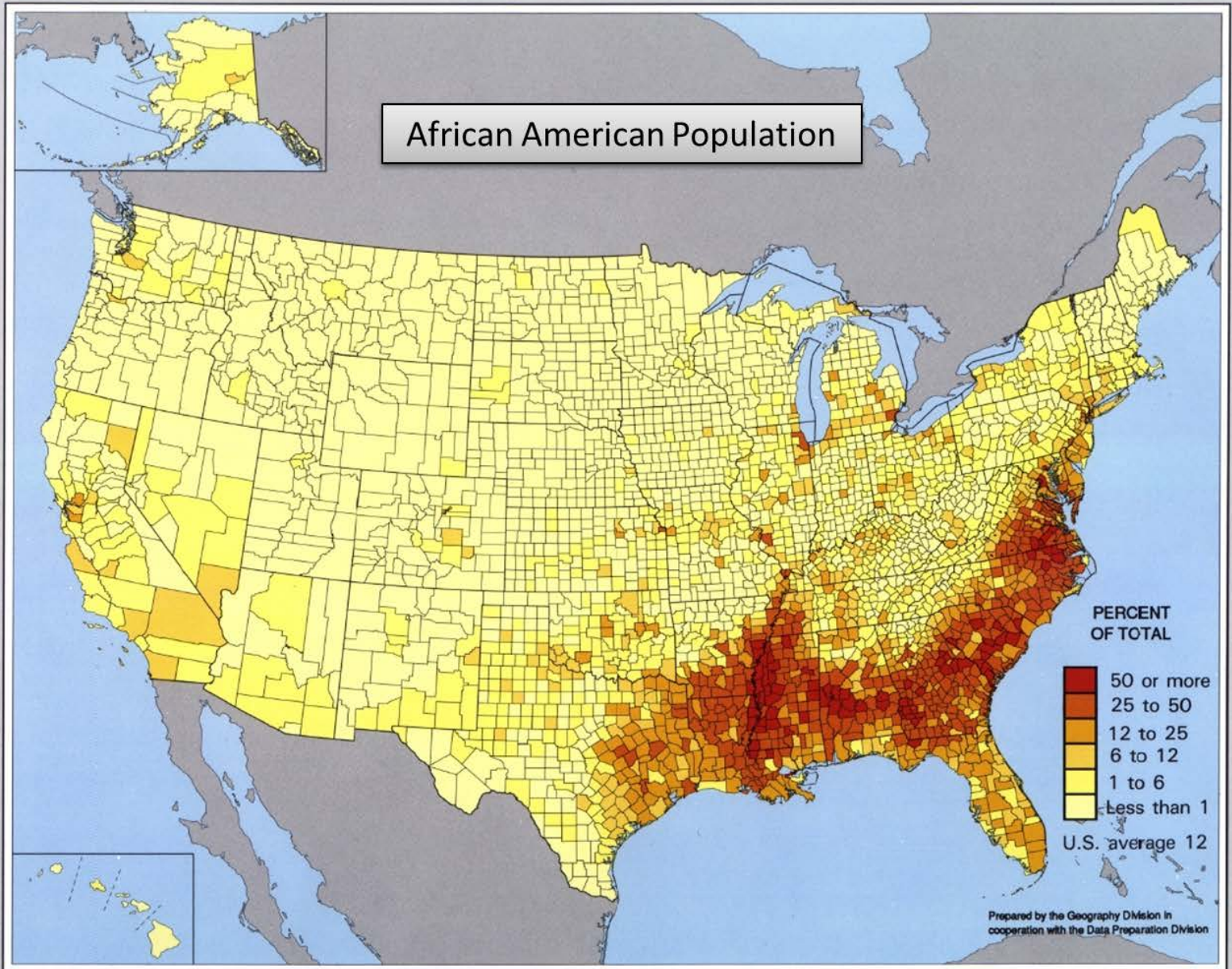
American Cancer Society, Surveillance Research, 2007

U.S. Colorectal Cancer Mortality 1975-2005



Cervical Cancer Death Rates* by Race and Ethnicity, U.S., 1999-2012



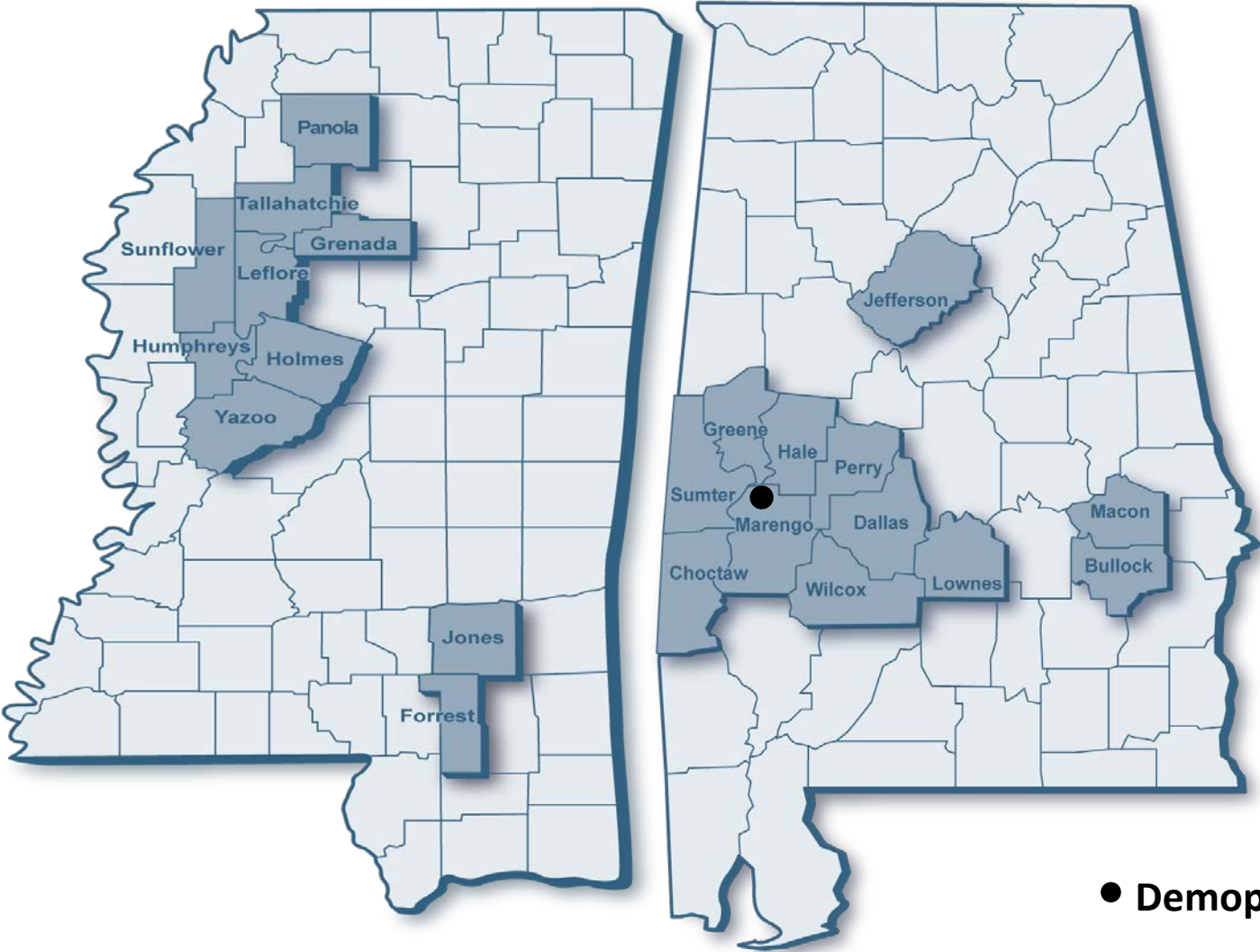


The Cancer Continuum

**Prevention → Early Detection → Treatment → Survivorship
→ End of Life**

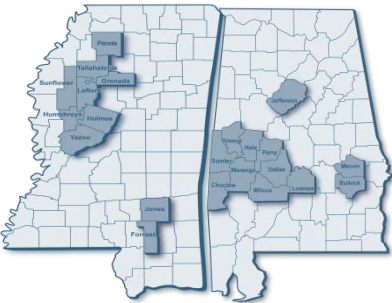
UAB Comprehensive Cancer Center experience
lay (non-clinical) health advisors/navigators
across the continuum

Mississippi Delta and Alabama Black Belt



● Demopolis

Demographics



	Per Capita Income	% Poverty	% AA
Black Belt	\$12,612	34%	64%
Delta	\$12,650	31%	60%

Deep South Network for Cancer Control

NCI Funded
Community Network Partnership Center
2000 – 2015

Edward E. Partridge, MD – Principal Investigator
Claudia M. Hardy, MPA, Program Director

Recruitment, Training, and Maintenance

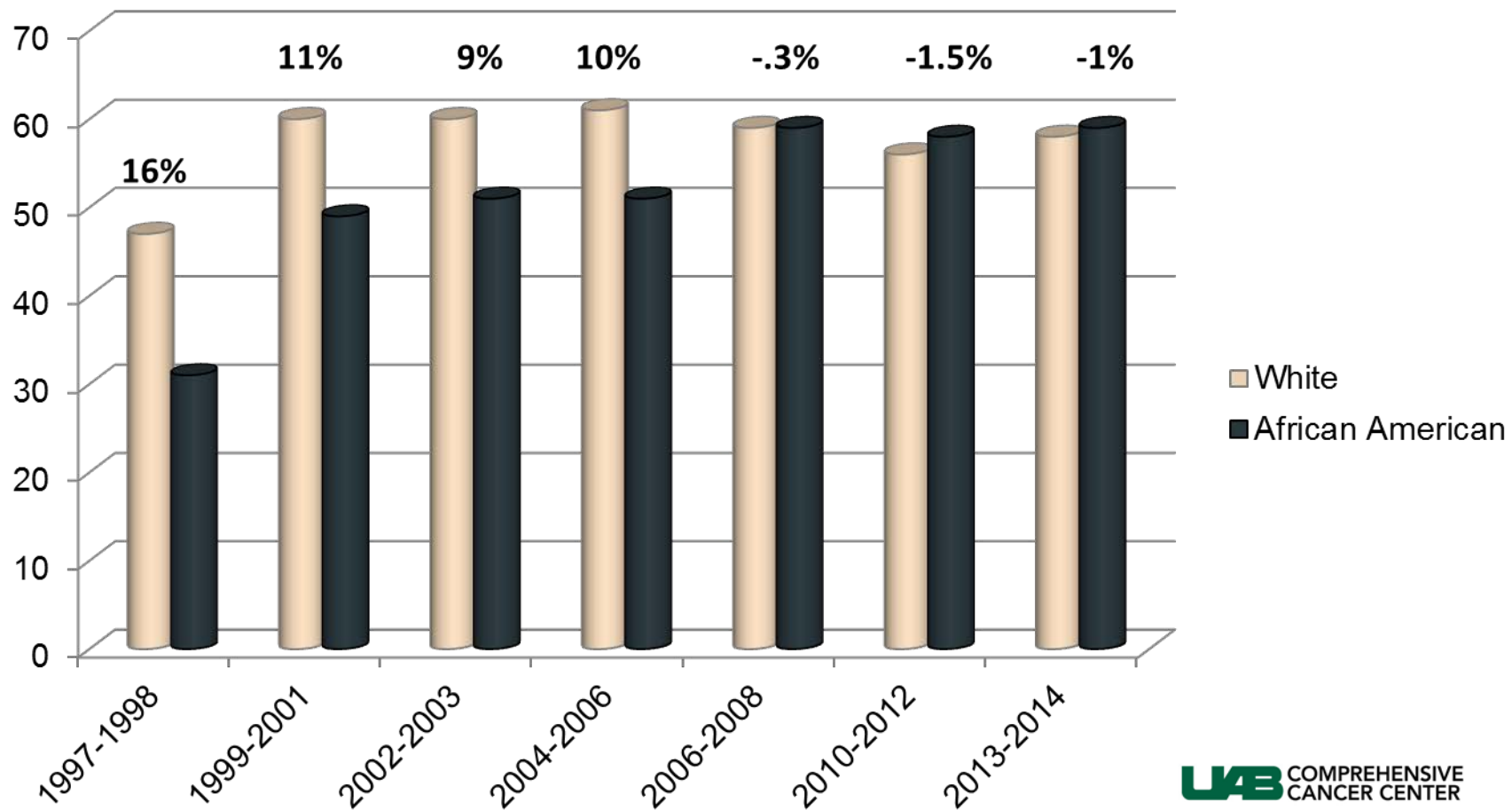
- 8 weeks - 2 hours/week training
 - Cancer education
 - Core leadership skills
- Graduation ceremony
- Monthly maintenance meetings

883 CHARPS



Disparities in Mammography Screening Between White and African American Women with Medicare

Alabama Black Belt Counties



Cervical Cancer Mortality Impact of Deep South Network for Cancer Control

Pre-DSN (1995-1999)

129 patients

DSN county CC rate/non-DSN county CC rate

(HR = 2.0 95% CL 1.10,3.72)

Post-DSN (2000-2005)

175 patients

(HR = 0.54 95% CL 0.35,0.87)

ASCO Abstract

Comparative Community Outreach to Increase Cervical Cancer Screening in Mississippi Delta

Castle P, Partridge E, Scarinci I, *et al*

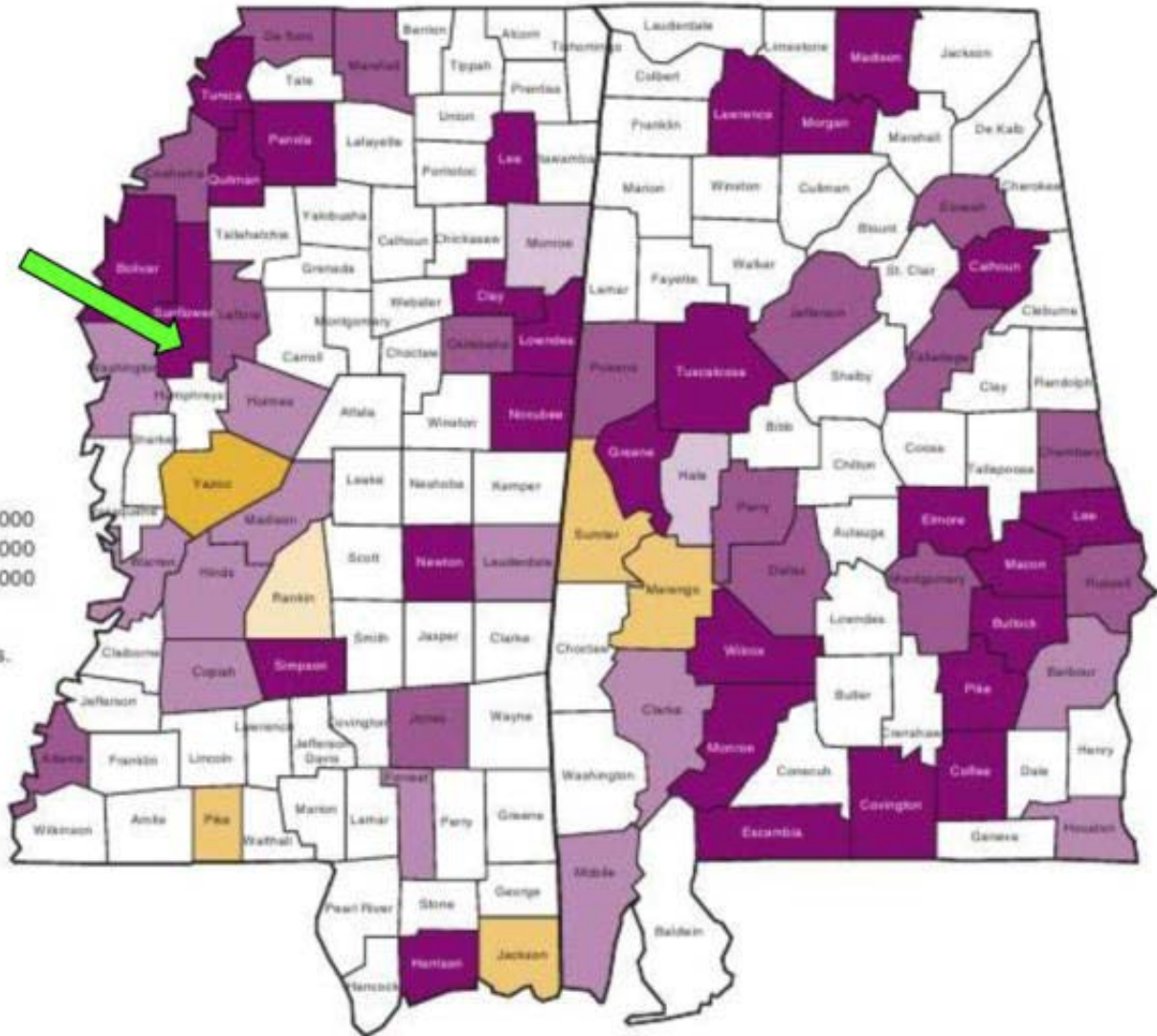
Objective – Increase participation in cervical cancer screening in Mississippi Delta

Prev Med 52 (2011)



* All races national average 4.5 per 100,000
 § Black national average 11.3 per 100,000
 ‡ White national average 3.9 per 100,000

° Data have been suppressed to ensure confidentiality and stability of rate estimates.



Comparative Community Outreach to Increase Cervical Cancer Screening in Mississippi Delta

- Door-to-door feasibility study of women without Pap in >3 years
- Offered cost-free choice of clinic based Pap or home self collection with HPV testing

Prev Med 52:2011

**Consenting Women
(*n* = 119)**

**Pap Test – 35.3%
(*n* = 42)**

**Self Collection &
HPV Testing – 64.7%
(*n* = 77)**

**Completion – 40.5%
(*n* = 17)**

**Completion – 80.5%
(*n* = 62)**

***p* = 0.0001**

Prev Med 52 (2011)

Patient Navigation Project – Mona Fouad, MD, MPH - PI



Community Health Advisors in Action Program (CHAAP)

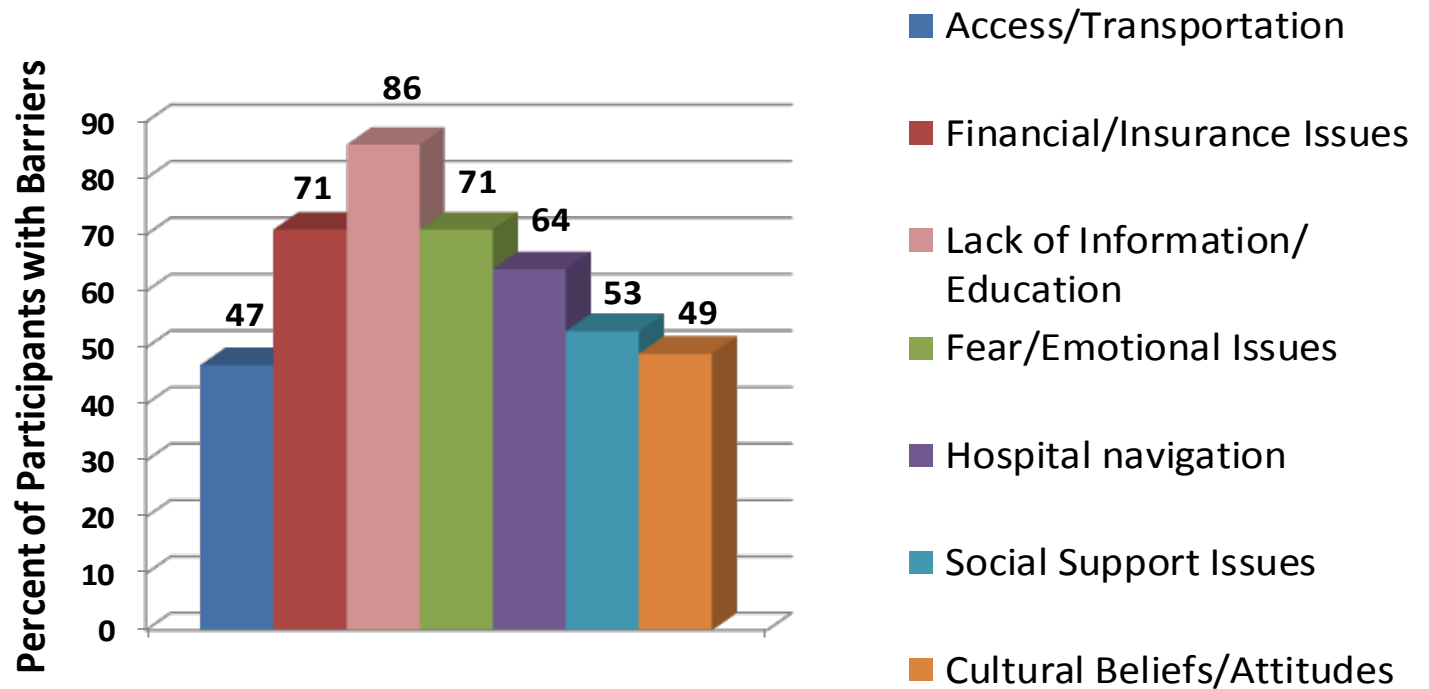
Fouad, Ethnicity & Disease, Volume 20, Spring 2010

Objectives

To facilitate access to care and to ensure adherence to diagnostic follow-up of positive breast cancer screening or prescribed treatment for confirmed cancer among low income women



Cancer Patients Barriers



Results

Adherence Outcomes by County

County	Number of patients	Appointments						
		Scheduled	Attended	Not attended	Unknown			
Dallas and surrounds	21	181	179	98.9%	1	0.6%	1	0.6%
Jefferson and surrounds	81	514	444	86.4%	24	4.7%	46	9.0%
Montgomery and surrounds	31	438	424	96.8%	10	2.3%	4	0.9%
Sumter and surrounds	14	251	239	95.2%	8	3.2%	4	1.6%
Total	147	1,384	1,286	92.9%	43	3.1%	55	4%

IMPACT – Improving Minority Participation in Clinical Trial Navigation



***Morehouse School of Medicine / Tuskegee University /
UAB Comprehensive Cancer Center Partnership***

NCI Funded

Edward Partridge – Principal Investigator (2000-2010)

Mona Fouad, MD, MPH – Co-Principal Investigator

African American women trained to discuss clinical trials and to overcome barriers to participate

Accrual to Clinical Trials: CCSG - 2005

Race/Ethnicity	US	Alabama	Cancer Cases	Clinical Trial Accrual
White	74.3%	70.4%	78.3%	
Black	12.3%	26.2%	20.3%	11.2%
Asian	4.4%	1.0%	0.5%	
Hispanic	15.1%	2.7%	0.7%	



Accrual to Clinical Trials: CCSG - 2010

Race/Ethnicity	US	Alabama	Cancer Cases	Clinical Trial Accrual
White	74.3%	70.4%	78.3%	
Black	12.3%	26.2%	20.3%	22.7%
Asian	4.4%	1.0%	0.5%	
Hispanic	15.1%	2.7%	0.7%	

JOP, in press



U54CA153719

Weight Loss Program

Monica L. Baskin, PhD - PI

- 2-year cluster-randomized trial among overweight African American women in eight (8) rural counties in Alabama and Mississippi
- Behavioral weight loss program adapted from evidence-based behavioral trials^{1,2,3} and delivered by trained local staff and volunteers.
- Study enrolled 409 women (age 30-70)
- High rate of retention noted at 6-, 12- and 24-months (99.5%, 98.5%, and 75%, respectively).

¹ Wadden et al., *Obes Res.* 2004; 12(Suppl 3): 151S-62S;

² Svetkey et al., *Ann Epidemiol.* 2003; 13(6):462-71.

³ Brantley et al., *Clin Trials.* 2008; 5(5):546-56.

Phase 1
(6 months)

- 20 weekly face-to-face group sessions
- Led by regional and local coordinators with help by community-health advisors (CHARPs)
- Goal: 5-10% weight loss
 1. Attending sessions
 2. Keeping track of food and physical activity
 3. Sticking to suggested calories per day
 4. Eating 5 or more fruits and vegetables a day
 5. Getting 150 minutes of physical activity a week

Phase 2
(6 months)

- Face-to-face group sessions twice a month for 3 months, then once a month for 3 months
- Led by local coordinator with help by CHARPs
- Goal: maintaining weight loss or reaching initial 5-10% weight loss
 1. Reviewing key Phase I sessions
 2. Social support
 3. Problem solving

Phase 3
(12 months)

- Monthly motivational phone calls
- Led by CHARPs
- Local coordinator provides CHARP supervision and support

Primary Successes

1. Community-Based Participatory Research (CBPR) methods associated with significant reach and retention of a traditionally “hard-to-reach” target population
2. Findings suggest improvements in health outcomes (weight, waist circumference, blood pressure, triglycerides) that may be clinically meaningful
3. Trained lay health staff and volunteers delivered a translation of a high intensity behavioral intervention, resulting in findings that rival major efficacy clinical trials

Ard, JD et al., Weight loss and improved metabolic outcomes among rural African American women in the Deep South: Six-month outcomes from a community-based randomized trial (*under review*).

Summary of Experience with Community Health Advisors

- 1) Promote awareness, change behavior, screening
- 2) Effectively assist compliance with diagnostic and treatment recommendations
- 3) Increase minority participation in clinical trials
- 4) Serve as research assistants

CMS Innovation Challenge Grant

Deep South Cancer Navigation Network



PATIENT CARE CONNECT

A service of **UAB** Health System Cancer Community Network

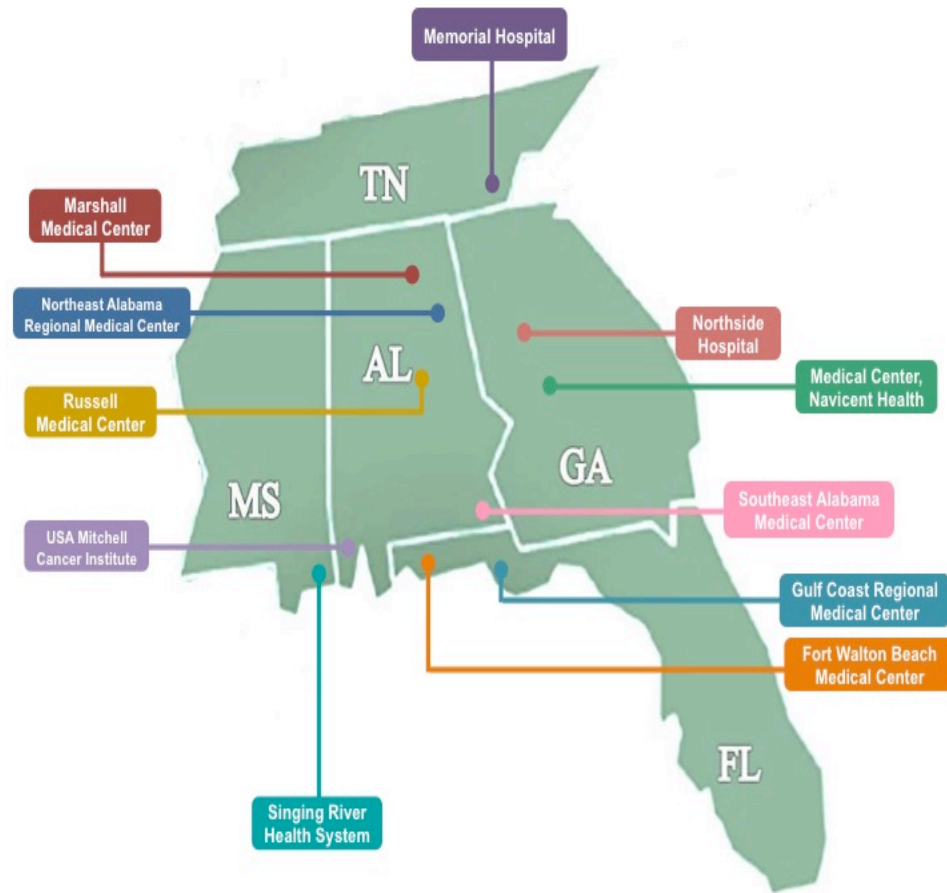
Patient Care Connect

A Lay Navigator Program

Better Health, Better Health Care, Lower Cost

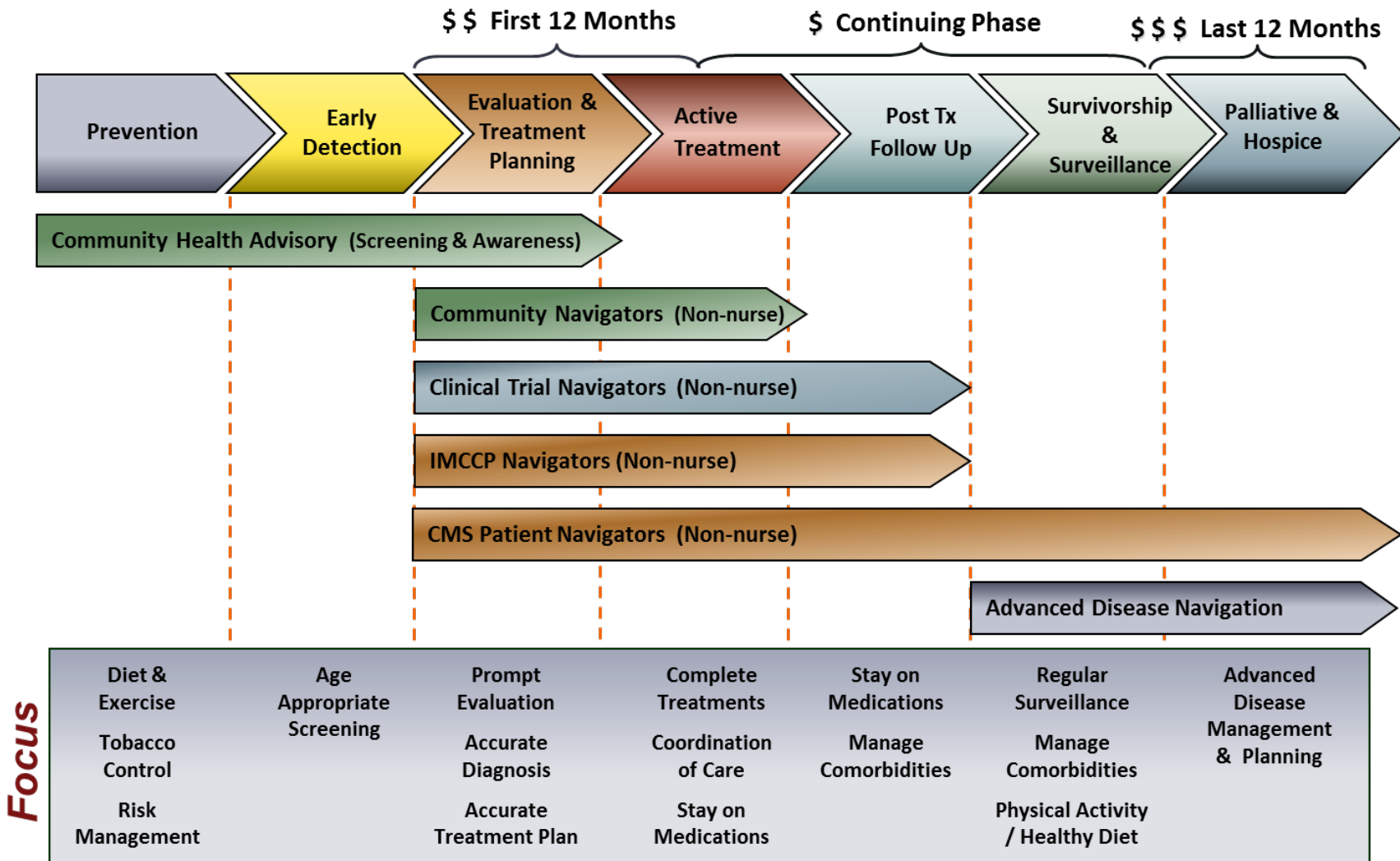
Treatment → Survivorship → End-of-Life

- 12 cancer centers across 5 southeastern states
- ~40 lay (non-clinical) navigators
- 12 nurse site managers



University of Alabama at Birmingham Health System Cancer Community Network (CCN)

DSCNN Program Overview



Estimates for Healthcare Utilization

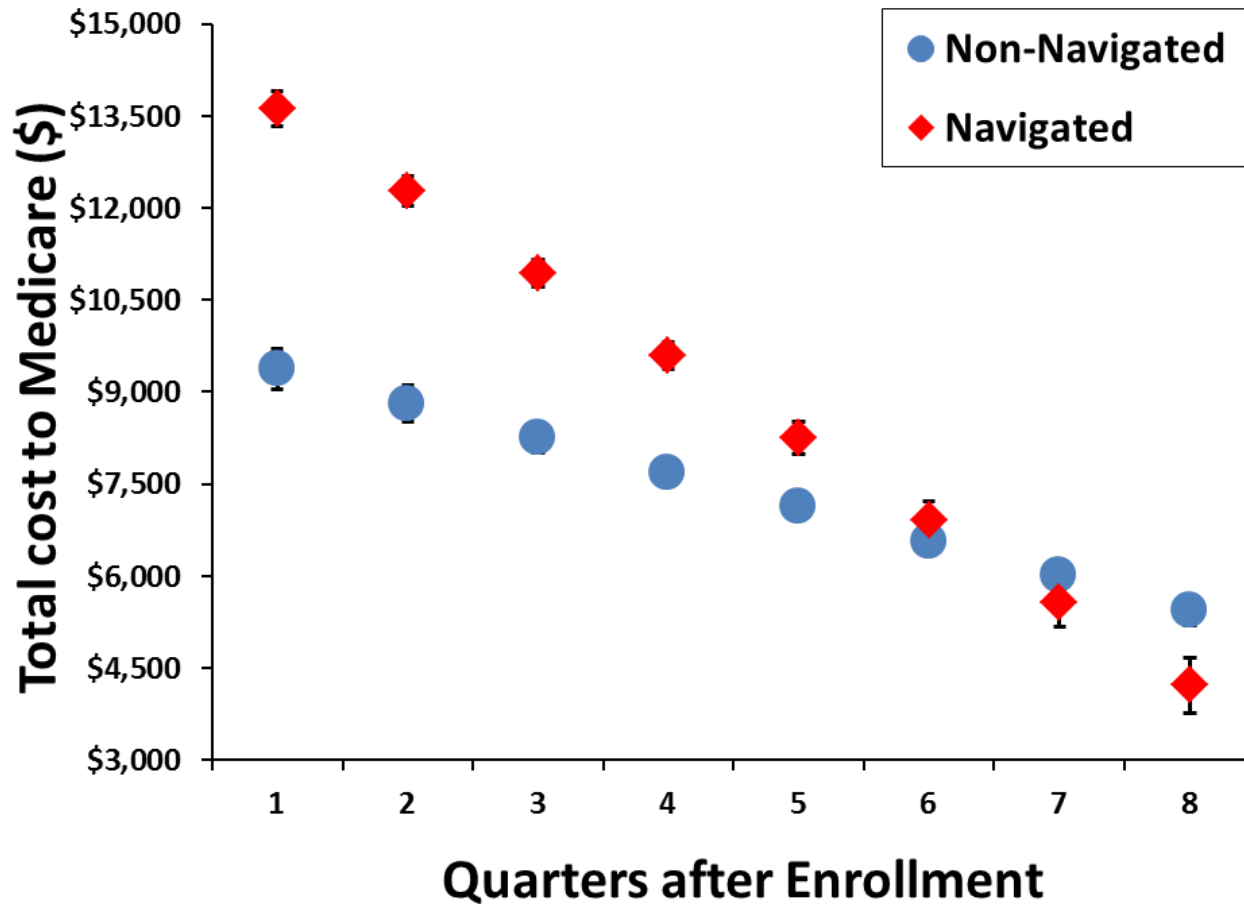
Outcome	Group ^a x Time			Time			Group ^a		
	β	SE	P-value	β	SE	P-value	β	SE	P-value
Total Cost to Medicare	-781.29	45.77	<0.0001	-561.82	30.99	<0.0001	5,030.67	247.87	<0.0001
Number of ER visits (IRR, CI)	0.94	0.96	<0.0001	0.96	0.97	<0.0001	1.56	1.70	<0.0001
Number of Hospitalizations (IRR, CI)	0.92	0.94	<0.0001	0.90	0.91	<0.0001	1.66	1.81	<0.0001
Number of ICU visits (IRR, CI)	0.90	0.94	<0.0001	0.87	0.90	<0.0001	1.62	1.91	<0.0001

^aNavigated vs. Non-Navigated

Model adjusted for calendar time

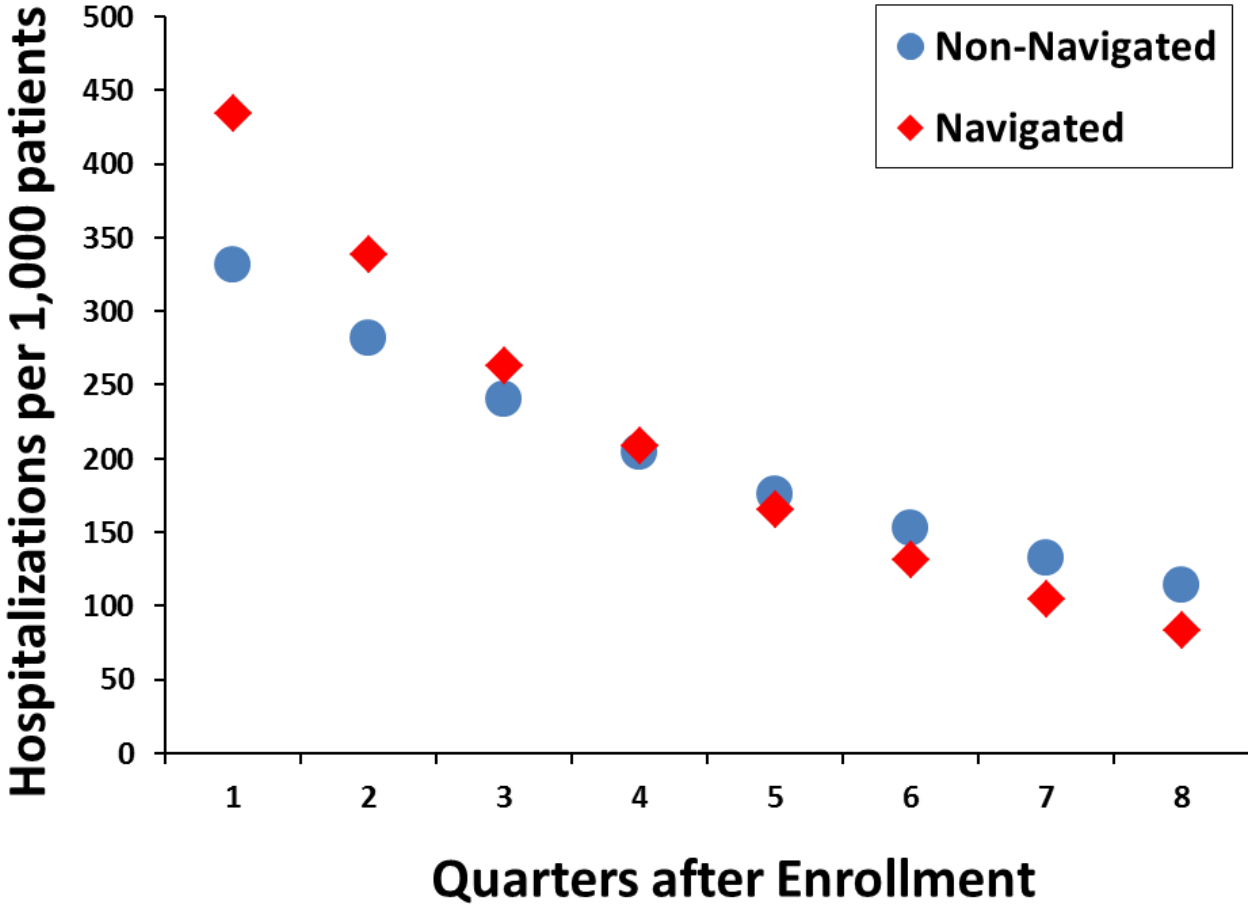
SE=standard error; IRR=incidence rate ratio; CI=confidence interval

Cost and Healthcare Utilization After Program implementation



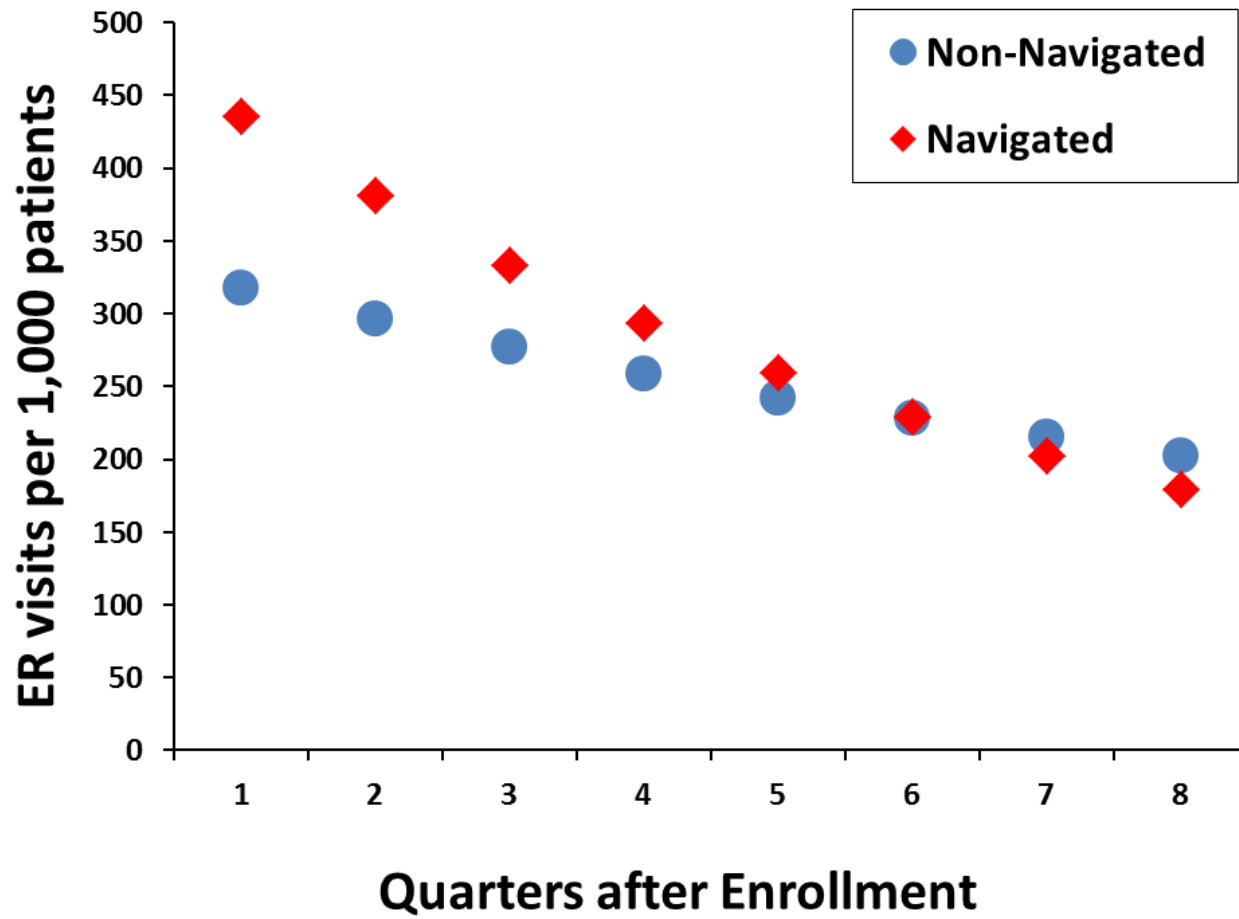
Rocque, et al, *JAMA Onc*, 2017

Cost and Healthcare Utilization After Program implementation

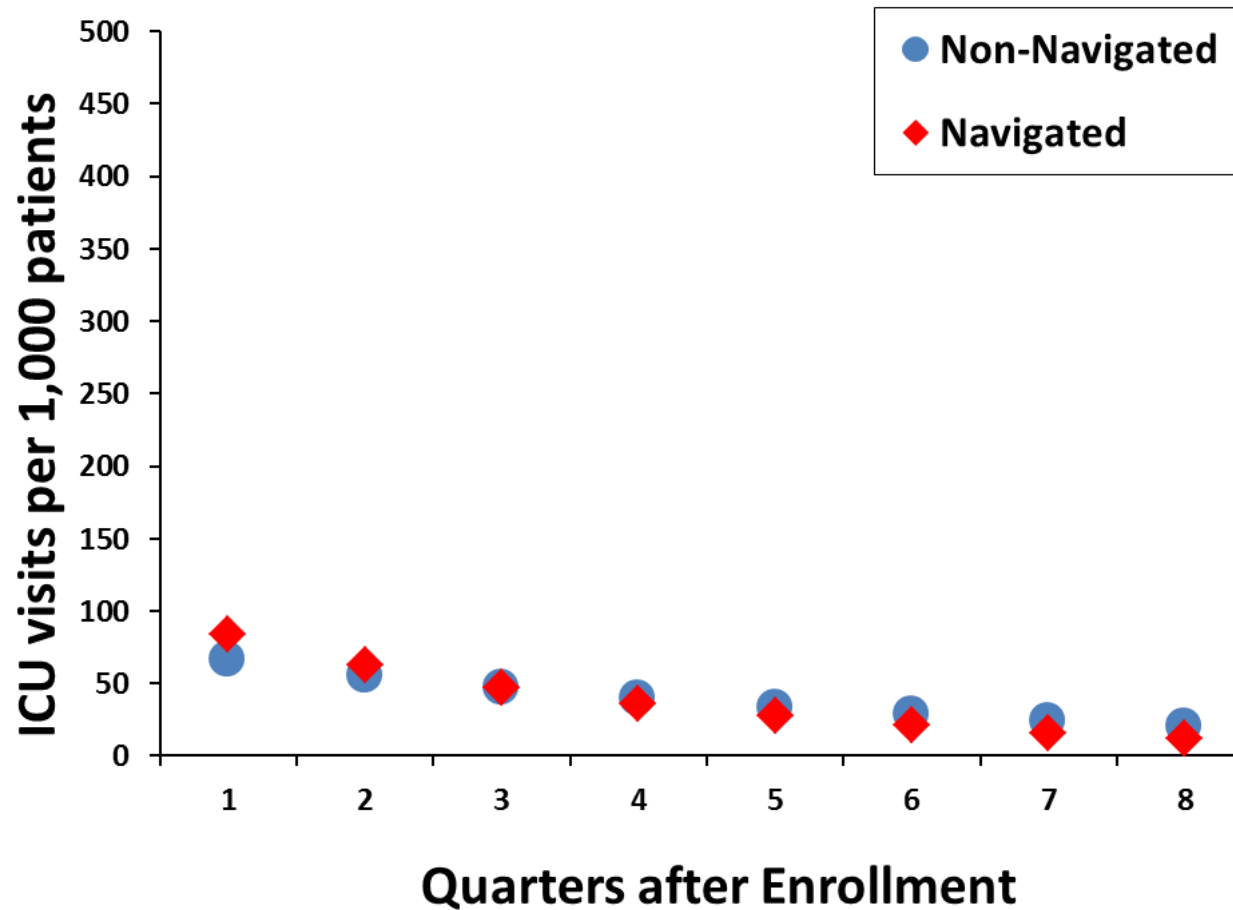


Rocque, et al, *JAMA Onc*, 2017

Cost and Healthcare Utilization After Program implementation

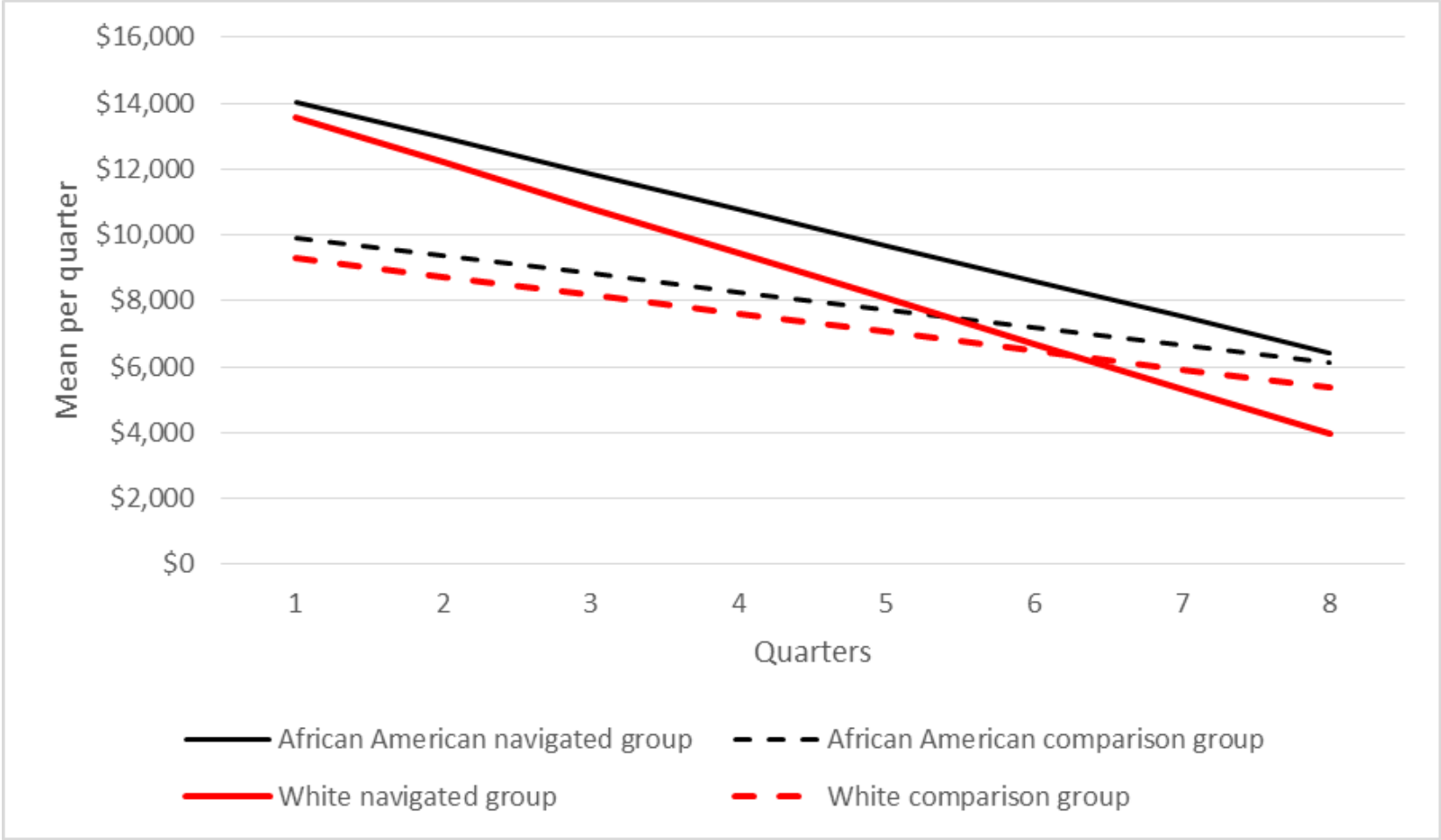


Cost and Healthcare Utilization After Program implementation

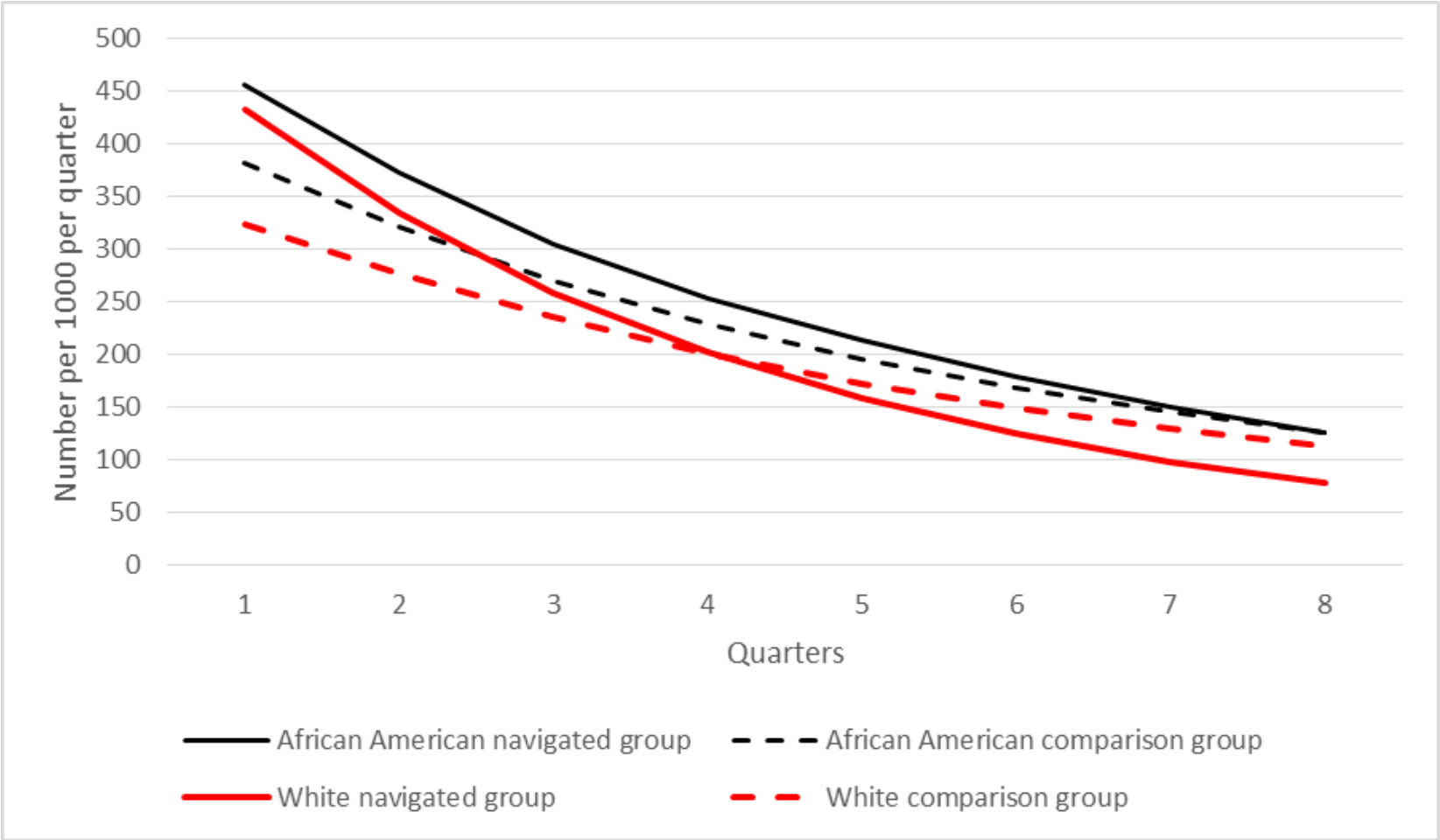


Rocque, et al, *JAMA Onc*, 2017

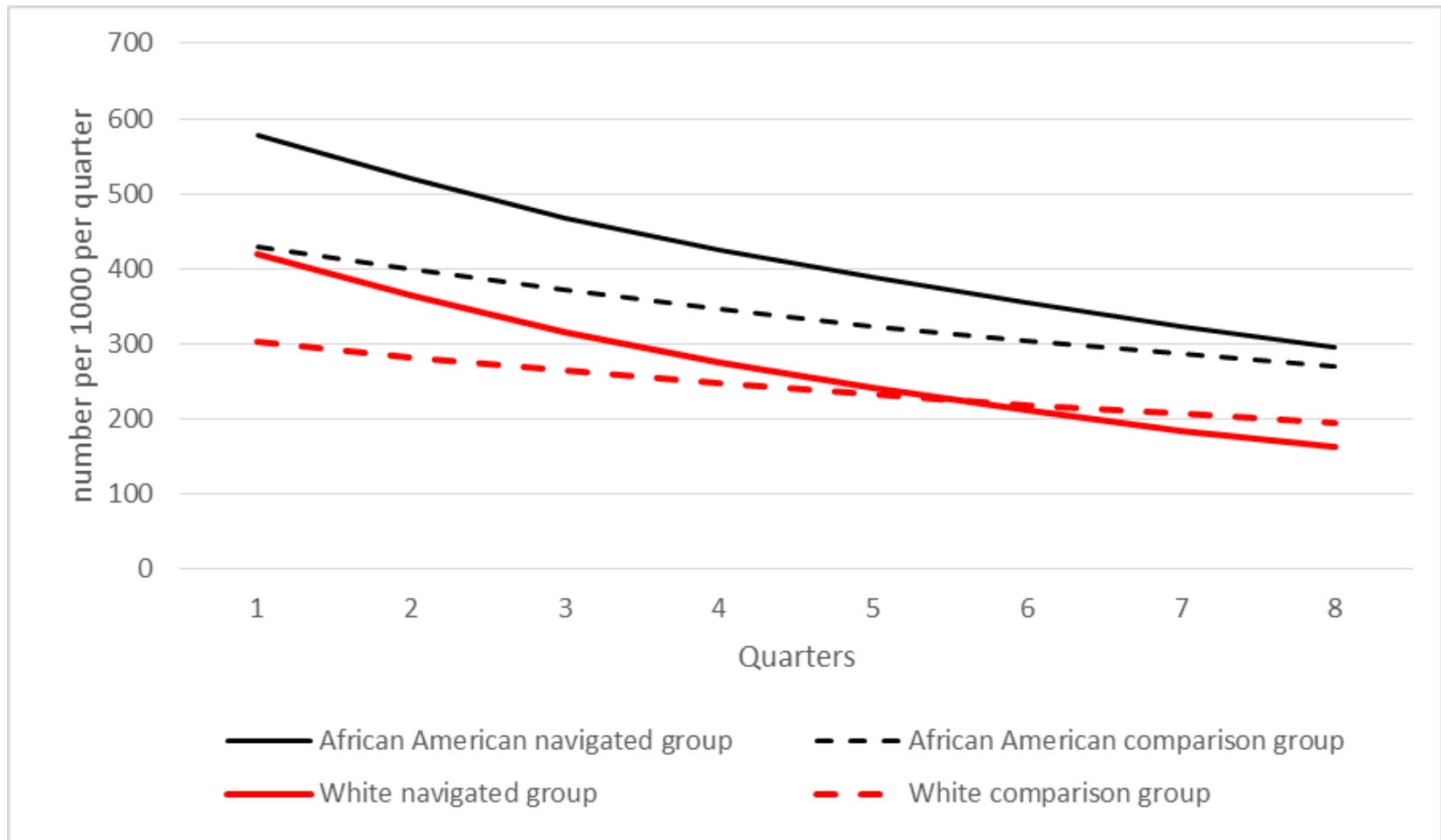
Trends in Medicare Costs per Quarter by Race and Navigation Status



Trends in Hospitalizations per 1,000 Beneficiaries per Quarter by Race and Navigation Status



Trends in ER Visits per 1,000 Beneficiaries per Quarter by Race and Navigation Status



Differences in End-of-Life Utilization, Quality, and Cost between Decedent UAB Program Participants and Comparison Group Participants

Outcome Measure	Adjusted Difference [90% Confidence Interval]
Average Quarterly Impact	
Hospitalizations (Likelihood per 1,000 Patients)	-30 [-53, -8]**
ED Visits (Likelihood per 1,000 Patients)	-34 [-54, -15]***
Hospice Care in the Last Two Weeks of Life ±	85 [63, 108]***
Chemotherapy in the Last Two Weeks of Life	-22 [-78, 35]
30-Day Total Cost of Care per Patient (\$)	-\$2,733 [-\$3,701, -\$1,766]***
90-Day Total Cost of Care per Patient (\$)	-\$5,824 [-\$7,180, -\$4,469]***
180-Day Total Cost of Care per Patient (\$)	-\$8,093 [-\$9,927, -\$6,258]***
Aggregate Impact	
Outcome Measure	Adjusted Difference [90% Confidence Interval]
30-Day Total Cost of Care per Patient (\$)	-\$6,007,134 [-\$8,134,798, -\$3,881,668]***
90-Day Total Cost of Care per Patient (\$)	-\$12,801,152 [-\$15,781,640, -\$9,822,862]***
180-Day Total Cost of Care per Patient (\$)	-\$17,788,414 [-\$21,819,546, -\$13,755,084]***

Medicare Access and CHIP Reauthorization Act (MACRA)

- MIPS - Merit-based Payment System
- APMs - Alternative Payment Models
 - ACOs, Patient center medical homes
 - Bundled payments

Value Based Payment Models

Oncology Payment Model

CMS

ASCO

1) Payment increased

CMS – during chemotherapy

ASCO – across continuum

2) Decreased utilization downstream (shared risk)

3) Quality measures

Summary

Lay Navigators/Community Advisors
(volunteer/paid)

can assist nation (and the world) in achieving cancer
health equity

Important Concepts

- Create trust
- Eliminate bias
- Share power

